

· 专题综述 ·

特发性震颤研究进展

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【摘要】 特发性震颤除表现为震颤外,还可以出现共济失调等运动症状以及认知功能障碍、情感障碍和听力下降等非运动症状。发病机制尚不明确,小脑、脑干、红核、丘脑和基底神经核均受累,致病基因的克隆为发病机制的研究奠定基础。治疗方法主要包括药物治疗和外科手术,其中,表现为头部、手部和声音震颤的患者可肌肉注射 A 型肉毒毒素,外科手术包括丘脑毁损术和脑深部电刺激术等。

【关键词】 特发性震颤; 综述

Research on advances of essential tremor

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【Abstract】 Recent research shows besides the symptom of tremor, essential tremor (ET) patients also present with motor symptoms such as dysfunction of cerebellum, and non-motor symptoms (NMS) such as cognitive impairment, mood symptoms and loss of hearing. The mechanism of ET remains unclear. The dysfunction of cerebellum, brain stem, red nuclei, thalamus and basal nuclei could be involved in ET. Several loci and genes were identified, which is helpful for the study of mechanism on ET. The therapies of ET include medication and surgery. The botulinum toxin A could be used in the ET patients whose main symptoms are tremor of head, hand and voice. The surgeries include thalamotomy and deep brain stimulation (DBS).

【Key words】 Essential tremor; Review

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特发性震颤(ET)是临床最常见的运动障碍性疾病,发病率约为 5%,老年人群可升至 20%;临主要表现为姿势性或动作性震颤,部分可见头部或声音震颤^[1]。既往研究显示,特发性震颤是一种功能性疾病,仅表现为震颤这一运动症状,被认为是一种进展缓慢、症状单一、良性运动障碍性疾病^[2]。然而,越来越多的研究显示,特发性震颤是一种累及多系统的疾病,包括运动症状如意向性震颤和共济失调,以及非运动症状(NMS)如认知功能障碍、情感

障碍和听力下降等^[3]。此外,特发性震颤并非呈良性病程,Louis 等^[4]的流行病学调查研究显示,特发性震颤患者病死率增加 45%;Benito-León 等^[5]对年龄 ≥ 65 岁的特发性震颤患者随访 3.30 年发现其进展为帕金森病(PD)的概率增加 4 倍。本文拟就近年来特发性震颤病理学研究和发病机制、临床表现、影像学特点及治疗进展进行概述。

一、病理学研究及发病机制

既往研究认为,特发性震颤无特异性病理改变。然而,既往 10 余年 Louis 及其研究小组发现,特发性震颤患者小脑存在明显结构改变,如浦肯野细胞减少和轴索梭形肿胀膨大^[6-8],部分患者可见脑干(蓝斑核和迷走神经背核)路易小体(LB)^[7];神经影像学和神经电生理学研究显示,特发性震颤可能源于小脑功能障碍^[9];然而,Deuschl 和 Elble^[3]认为上

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述研究未排除共患病、抑郁、药物等因素的影响,故结果欠可靠。目前,仍亟待对特发性震颤的病理学进行深入研究。

特发性震颤的确切发病机制尚不十分明确。约有 30% 以上患者存在家族史,呈常染色体显性遗传^[10]。已知 3 个致病基因位点,即 ETM1 型定位于 3q13.3、ETM2 型定位于 2p25~p22、ETM3 型定位于 6p23,以及 4 种相关基因,即 DRD3、HS1BP3、LINGO1 和 SLC1A2,直至 2012 年,Merner 等^[11]方克隆出首个致病基因——FUS 基因,并认为 FUS 基因突变可能是通过功能缺失(LOF)而致病;2014 年 Unal Gulsuner 等^[12]在一个既有特发性震颤患者也有帕金森病患者的家系中发现 HTRA2 基因突变,然而该基因的具体功能尚不明确,也未在其他家系中发现该突变;2015 年 Hor 等^[13]采用全外显子测序(WES)发现,位于第 11 号染色体的 TENM4 基因突变可以导致特发性震颤,过表达 TENM4 基因突变导致细胞轴索转运障碍,TENM4 基因敲除小鼠表现为震颤,均表明 TENM4 基因参与神经元髓鞘形成和轴索转运的调控。尽管特发性震颤发病机制尚不明确,但致病基因的定位和克隆为进一步研究发病机制奠定了基础。特发性震颤还与环境因素有关,家族性特发性震颤患者神经毒性物质哈尔碱水平高于正常人群,已有研究证实哈尔碱是可以导致震颤的神经毒性物质^[14]。此外,特发性震颤患者中枢神经系统兴奋性物质谷氨酸水平升高可以引起小脑齿状核 γ-氨基丁酸(GABA)受体水平下降,小脑深部神经核团过度兴奋,小脑-脑干-丘脑-皮质通路受损,从而导致震颤,这也可能是特发性震颤的发病机制之一^[15]。

特发性震颤患者还可以出现认知功能障碍和情感障碍,其可能的发病机制主要包括:(1)特发性震颤患者的认知功能障碍主要表现为额叶功能减退,即注意力和执行功能障碍,研究显示其与前额叶背外侧皮质(DLPFC)或额叶-丘脑-小脑环路损害有关^[16-17]。(2)特发性震颤患者还表现为小脑认知情感综合征(CCAS),如执行功能、视空间能力、言语功能和情绪障碍以及神经精神症状,考虑可能与小脑是决定性格、情绪和认知功能的中枢神经系统重要成分有关^[17-20]。(3)特发性震颤患者存在异常的神经振荡(neuronal oscillation),引起运动系统动态振荡扰动,导致异常神经元放电而继发神经损伤^[21],酒精改善直线行走能力^[22]和脑深部电刺激术(DBS)改

善瞬目反射(BR)^[23]均支持这一观点。

二、临床表现

特发性震颤的核心运动症状是上肢远端姿势性或动作性震颤,伴头部、口面部或声音震颤。流行病学调查研究显示,95% 以上患者可累及上肢,其他部位依次为头部(30% 以上)、声音(20% 以上)、舌(20%)、面部和(或)下颌(10%)、下肢(10%)和躯干(5%)^[24-25]。随着病程的延长,临床症状逐渐加重,至晚期可出现意向性震颤^[2];部分表现为瞬目反射延迟或缺失^[26];即使步态正常,仍可出现直线行走不稳^[27]。

特发性震颤患者还表现出多种非运动症状,包括认知功能障碍、情感障碍和听力下降等,此外,还可以出现轻度认知损害(MCI)。2001 年, Gasparini 等^[28]发现,特发性震颤患者存在认知功能障碍,威斯康辛卡片分类测验(WCST)显示,与帕金森病患者一样,特发性震颤患者存在显著注意力和概念思维的任务能力下降,提示二者可能存在共同的多巴胺能通路障碍; Lombardi 等^[29]发现,特发性震颤患者认知功能障碍主要表现为词语流畅性、命名、情绪、语言记忆和工作记忆障碍; Sinoff 和 Badarny^[30]的前瞻性研究显示,约 69.23% 特发性震颤患者(36/52)伴轻度认知损害,余 16 例中 4 例 2 年内进展为轻度认知损害,年发病率为 12.50%,高于一般人群的 5%; Benito-León 等^[18]基于人群的横断面研究显示,273 例特发性震颤患者中 31 例(11.36%)并发痴呆,而正常对照者仅为 6.03%(204/3382); Gerwig 等^[31]认为,65 岁以上的特发性震颤患者痴呆发生率较正常对照者增加 70%; Bermejo-Pareja 等^[32]进行的随访 3.20 年的前瞻性研究显示,约 7.77% 特发性震颤患者(16/206)进展为痴呆,而正常对照者仅为 3.93%(145/3685),其中 65 岁以上患者痴呆发生率是正常对照者的 2 倍($RR = 1.980, 95\% CI: 1.140 \sim 3.450; P = 0.010$); Thawani 等^[33]的横断面研究显示,25%(31/124)特发性震颤患者出现痴呆,而正常对照者仅为 9.16%(198/2161)。情感障碍在特发性震颤患者中亦较为常见。Lombardi 等^[29]评价特发性震颤患者抑郁症状,结果显示,与帕金森病患者相比,特发性震颤患者抑郁症状发生率更高; Sinoff 和 Badarny^[30]的研究显示,25% 特发性震颤患者(13/52)伴焦虑症状,17.31%(9/52)伴抑郁症状; Louis 等^[34]进行的基于人群的前瞻性横断面研究显示,约 43.83% 特发性震颤患者(103/235)存在抑郁症状、正常对照者仅为

25.86% (1137/4379), 特发性震颤伴抑郁症状患者服用选择性5-羟色胺再摄取抑制剂(SSRI)是正常对照者的3倍, 随访3年后新诊断78例特发性震颤患者, 其中29例存在抑郁症状, 提示抑郁症状与新发特发性震颤有关。特发性震颤患者还表现出听力下降。Ondo等^[35]发现, 特发性震颤患者听力下降较正常对照者和帕金森病患者严重, 听力下降程度与男性、高龄、震颤严重程度相关。Benito-León等^[36]的基于人群的研究显示, 约38.71%特发性震颤患者(96/248)存在听力下降, 而正常对照者仅为29.36%(1371/4669)。

三、影像学特点

特发性震颤的影像学无明显异常, 近年来影像学研究取得较大进展, 主要采用基于体素的形态学分析(VBM), 多项研究显示, 特发性震颤患者存在广泛性灰质和白质萎缩^[9,37-38]。Benito-León等^[9]发现, 与正常对照者相比, 特发性震颤患者存在广泛性白质(右侧小脑、左侧髓质、右侧顶叶、右侧边缘系统)和灰质(双侧小脑、双侧顶叶、右侧额叶、右侧岛叶)改变; Lin等^[37]比较10例特发性震颤患者与10例帕金森病患者和13例正常对照者脑体积, 结果显示, 与正常对照者相比, 特发性震颤患者尾状核体部、颞极中央、岛叶、楔前叶、颞上回体积缩小, 而颞中回和中央前回灰质体积增大; 与帕金森病患者相比, 特发性震颤患者丘脑和颞中回体积缩小, 而额中回、颞中回、小脑后叶和岛叶灰质体积增大; Quattrone等^[38]的研究显示, 与正常对照者相比, 同时累及头部和手部的特发性震颤患者存在明显的小脑蚓部萎缩。

Louis等^[39]进行的磁共振波谱(MRS)研究显示, 与对照组相比, 特发性震颤组患者小脑皮质N-乙酰天冬氨酸(NAA)/肌酸(Cr)比值下降, 且与上肢震颤程度呈负相关关系; Pagan等^[40]的研究显示, 特发性震颤患者双侧小脑半球NAA/Cr和NAA/胆碱(Cho)比值明显下降, 上述研究均证实特发性震颤可以累及小脑。

Cerasa等^[41]和Passamonti等^[42]采用fMRI研究特发性震颤患者语言工作记忆的神经生理学机制, 结果显示, 高负荷工作记忆试验存在异常强化的小脑反应; 小脑之间功能联系、执行控制通路和脑默认网络(DMN)改变; 与对照组相比, 特发性震颤组患者进行Stroop色词测验(SCWT)时前额叶背外侧皮质和顶下小叶皮质存在异常强化的小脑反应。

四、治疗

特发性震颤的治疗取决于震颤的严重程度、震颤致持续功能障碍、患者提高生活质量的要求^[1]。根据美国神经病学学会(AAN)2011年公布的特发性震颤治疗指南^[43], 特发性震颤的治疗分为药物治疗和外科手术, 其中, 药物治疗分为三线, 一线药物为普萘洛尔、扑米酮, 二线药物为阿普唑仑、阿替洛尔、加巴喷丁、索他洛尔和托吡酯, 三线药物为氯氮平、纳多洛尔和尼莫地平。对于难治性肢体、头部和声音震颤, 可肌肉注射A型肉毒毒素, Hertegard等^[44]报告15例以声音震颤为主的特发性震颤患者, 于甲杓肌、环甲肌或甲状舌骨肌注射A型肉毒毒素, 10/15例患者主观感觉症状好转。近期研究显示, 以声音震颤为主的特发性震颤患者肌肉注射A型肉毒毒素后震颤幅度明显好转^[45]。药物反应欠佳的难治性患者, 丘脑毁损术和脑深部电刺激术可用于肢体震颤。脑深部电刺激术于1997年通过美国食品与药品管理局(FDA)批准用于治疗特发性震颤, 常见刺激部位是丘脑腹外侧核和下丘脑^[46]。Schuurman等^[47-48]的研究显示, 丘脑毁损术与脑深部电刺激术效果相当, 但后者不良反应较轻微。Baizabal-Carvallo等^[21]对脑深部电刺激术后至少随访8年的13例特发性震颤患者进行研究, 结果显示, Fahn-Tolosa-Marin震颤评价量表(FTMTRS)评分减少, 常见不良反应为构音障碍和平衡障碍。

综上所述, 特发性震颤是一组临床综合征而非单一疾病, 越来越多的研究开始关注其非运动症状, 包括认知功能障碍、情绪障碍和听力下降等。随着神经影像学技术的发展和医学研究的深入, 对特发性震颤非运动症状、病理生理学机制和治疗的研究必将日益深入。

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· 小词典 ·

中英文对照名词词汇(二)

功能缺失 loss-of-function(LOF)	抗肌萎缩蛋白-糖蛋白复合物 dystrophin-glycoprotein complex(DGC)
国际疾病分类法-10 International Classification of Disease-10(ICD-10)	可读框 open reading frame(ORF)
核内包涵体 intranuclear inclusions(INIs)	离子钙结合蛋白1 ionized calcium-binding adaptor molecule 1(Iba1)
亨廷顿病 Huntington's disease(HD)	磷脂酰肌醇3-激酶 phosphatidylinositol 3-kinase(PI3K)
踝-足矫形器 ankle-foot orthosis(AFO)	路易体痴呆 dementia with Lewy bodies(DLB)
获得性免疫缺陷综合征 acquired immunodeficiency syndrome(AIDS)	路易小体 Lewy body(LB)
肌萎缩侧索硬化症 amyotrophic lateral sclerosis(ALS)	脉冲场凝胶电泳 pulsed-field gel electrophoresis(PFGE)
基于体素的形态学分析 voxel-based morphometry(VBM)	慢性炎性脱髓鞘多发性神经根神经病 chronic inflammatory demyelinating polyradiculoneuropathy(CIDP)
吉兰-巴雷综合征 Guillain-Barré syndrome(GBS)	美国国立神经病学、语言障碍和卒中研究所-阿尔茨海默病及相关疾病协会 National Institute of Neurological and Communicative Disorders and Stroke-Alzheimer's Disease and Related Disorders Association(NINCDS-ADRDA)
极长链脂肪酸 very-long-chain fatty acids(VLCFAs)	美国神经病学学会 American Academy of Neurology(AAN)
集落刺激因子1 colony-stimulating factor-1(CSF-1)	美国食品与药品管理局 Food and Drug Administration(FDA)
脊髓小脑共济失调 spinocerebellar ataxia(SCA)	美国物理医学与康复学会 American Academy of Physical Medicine and Rehabilitation(AAPM&R)
脊髓性肌萎缩症 spinal muscular atrophy(SMA)	面-肩-肱型肌营养不良症 facioscapulohumeral muscular dystrophy(FSHD)
家族性肌萎缩侧索硬化症 familial amyotrophic lateral sclerosis(FALS)	脑深部电刺激术 deep brain stimulation(DBS)
1-甲基-4-苯基-1,2,3,6-四氢吡啶 1-methyl-4-phenyl-1, 2, 3, 6-tetrahydropyridine(MPTP)	皮质基底节变性 corticobasal ganglionic degeneration(CBD)
甲基丙二酸血症 methylmalonic acidemia(MMA)	葡萄糖-6-磷酸脱氢酶缺乏症 glucose-6-phosphate dehydrogenase deficiency(G-6-PD)
N-甲基-D-天冬氨酸 N-methyl-D-aspartate(NMDA)	α-羟丁酸脱氢酶 α-hydroxybutyrate dehydrogenase(α-HBDH)
简单序列长度多态性 simple sequence length polymorphism(SSLP)	
胶质纤维酸性蛋白 glial fibrillary acidic protein(GFAP)	
ATP结合盒转运体D1 ATP-binding cassette transporter D1(ABCD1)	
结节性硬化症 tuberous sclerosis complex(TSC)	
进行性皮质下胶质增生症 progressive subcortical gliosis(PSG)	
经鼻持续气道正压通气 nasal continuous positive airway pressure(nCPAP)	