

情绪对轻中度帕金森病患者认知功能的影响

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【摘要】 目的 探讨焦虑和抑郁情绪对轻中度帕金森病患者认知功能的影响。方法 71例原发性帕金森病患者,采用统一帕金森病评价量表(UPDRS)和Hoehn-Yahr分级评价病情严重程度、汉密尔顿焦虑量表(HAMA,14项)和汉密尔顿抑郁量表(HAMD,24项)评价焦虑和抑郁情绪、简易智能状态检查量表(MMSE)和蒙特利尔认知评价量表(MoCA,北京版)评价认知功能,分析焦虑和抑郁情绪对认知功能的影响。结果 71例患者均为轻中度帕金森病患者,出现焦虑61例(85.92%)、抑郁55例(77.46%)、同时出现焦虑和抑郁52例(73.24%)。伴焦虑和抑郁患者UPDRS评分分别高于无焦虑($P=0.016$)和无抑郁($P=0.000$)患者,伴焦虑患者MoCA评分低于无焦虑患者($P=0.042$)。71例患者中49例(69.01%)出现认知功能障碍,其中轻度认知损害28例(39.44%)、痴呆21例(29.58%)。Logistic回归分析显示,仅焦虑是帕金森病患者认知功能障碍的独立危险因素($OR=10.816,95\%CI:1.682\sim 69.560;P=0.012$)。结论 伴焦虑或抑郁情绪的帕金森病患者病情更严重,存在焦虑的帕金森病患者认知功能障碍患病率更高、程度更严重。

【关键词】 帕金森病; 焦虑; 抑郁; 认知障碍; 回归分析

Effect of emotion on the cognitive function of patients with mild to moderate Parkinson's disease

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【Abstract】 Objective To explore the effect of anxiety and depression on cognitive function in patients with mild to moderate Parkinson's disease (PD). **Methods** A total of 71 patients with primary PD were enrolled in this study. Unified Parkinson's Disease Rating Scale (UPDRS) and Hoehn-Yahr stage were used to evaluate the severity of the disease. Hamilton Anxiety Rating Scale (14-item version, HAMA-14) and Hamilton Depression Rating Scale (24-item version, HAMD-24) were used to evaluate the anxiety and depression. Mini-Mental State Examination (MMSE) and Montreal Cognitive Assessment (MoCA, Beijing version) were used to evaluate the cognitive function. The impact of anxiety and depression on cognitive function was analyzed. **Results** All of these patients were diagnosed as mild to moderate PD, including 61 patients (85.92%) with anxiety, 55 patients (77.46%) with depression and 52 patients (73.24%) with concurrent anxiety and depression. The UPDRS score of patients with anxiety and depression were significantly higher than that of patients without anxiety ($P=0.016$) or depression ($P=0.000$). The MoCA score of PD patients with anxiety were significantly lower than that of patients without anxiety ($P=0.042$). Among 71 patients, there were 49 cases (69.01%) with cognitive dysfunction, including 28 patients (39.44%) with mild cognitive impairment (MCI) and 21 cases (29.58%) with dementia. There was no statistical difference of HAMA-14 and HAMD-24 scores among PD patients with different cognitive levels ($P>0.05$, for all). Logistic regression analysis showed only anxiety was the independent risk factor for cognitive dysfunction of PD patients ($OR=10.816,95\%CI:1.682\sim 69.560;P=0.012$). **Conclusions** The illness of PD patients accompanied by anxiety or depression is more serious. PD patients with anxiety have higher prevalence of cognitive dysfunction.

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帕金森病(PD)是一种临床常见的中老年中枢神经系统变性病,以黑质多巴胺能神经元变性缺失和路易小体(LB)形成为病理学特点,临床主要表现为静止性震颤、肌强直、运动迟缓、姿势步态异常等运动症状。近年来,帕金森病非运动症状(NMS)颇受临床关注,包括认知功能障碍和睡眠障碍、焦虑和抑郁症状、易疲乏、冷漠、多汗、尿频等自主神经功能障碍等,其中以认知功能障碍发病率较高。据文献报道,约 24% 首诊帕金森病患者存在认知功能障碍^[1],而 30% 患者最终进展至帕金森病痴呆(PDD)^[2],帕金森病患者发生痴呆的可能性约为正常人的 6 倍^[3],焦虑和抑郁症状可早于运动症状且贯穿疾病全过程,严重影响生活质量。本研究旨在探讨焦虑和抑郁对帕金森病患者认知功能的影响。

对象与方法

一、研究对象

选择 2013 年 5~12 月在河北医科大学第一医院神经内科就诊的原发性帕金森病患者 71 例,均符合英国帕金森病学会脑库帕金森病临床诊断标准^[4];同时排除原发性震颤、脑血管病、中枢神经系统感染和中毒、颅脑创伤等疾病导致的帕金森综合征,多系统萎缩(MSA)、进行性核上性麻痹(PSP)、皮质基底节变性(CBD)、路易体痴呆(DLB)等帕金森叠加综合征,严重认知功能障碍并发精神障碍或恶性肿瘤,严重日常生活活动能力下降和文盲,以及曾接受过帕金森病外科手术治疗的患者,男性 40 例,女性 31 例;年龄 47~84 岁,平均(66.50±8.66)岁;病程 12~240 个月,中位病程 60 个月;受教育程度 6~18 年,平均(11.17±3.45)年。

二、研究方法

由经过统一培训的神经内科医师登记患者性别、年龄、病程和受教育程度。入组病例均于疾病“开期”进行统一帕金森病评价量表(UPDRS)评分和 Hoehn-Yahr 分级,分别采用汉密尔顿焦虑量表(HAMA, 14 项)、汉密尔顿抑郁量表(HAMD, 24 项),以及简易智能状态检查量表(MMSE)和蒙特利尔认

知评价量表(MoCA, 北京版)评价患者焦虑、抑郁和认知功能。

1. 帕金森病严重程度及分期 采用 UPDRS 量表评价病情严重程度,0~50 分为轻度,51~100 分为中度,101~199 分为重度。Hoehn-Yahr 分级共分为 5 级,1~2 级为轻度,单侧或双侧身体受影响,但无平衡障碍;2.5~3 级为中度,双侧身体受影响且出现平衡障碍;4~5 级为重度,日常生活活动能力严重受影响。

2. 焦虑和抑郁评价 (1) HAMA 量表(14 项):

① 躯体性焦虑,包括肌肉系统症状、感觉系统症状、心血管系统症状、呼吸系统症状、胃肠道症状、生殖和泌尿系统症状、自主神经系统症状共 7 项内容。② 精神性焦虑,包括焦虑、紧张、恐惧、失眠、认知功能障碍、抑郁心境和会谈时行为表现共 7 项内容。评分 < 7 分为无焦虑症状,评分 ≥ 7 分为有焦虑症状。(2) HAMD 量表(24 项):① 焦虑/躯体化,包括精神性焦虑、躯体性焦虑、胃肠道症状、疑病、自知力共 5 项内容。② 体重减轻。③ 认知功能障碍,包括负罪感、自杀、激越、人格解体和现实解体、偏执症状、强迫症状共 6 项内容。④ 昼夜变化。⑤ 迟滞症状,包括抑郁、工作和兴趣减少、阻滞、性症状共 4 项内容。⑥ 睡眠障碍,包括入睡困难、浅睡眠、早醒共 3 项内容。⑦ 绝望感,包括能力减退感、绝望感、自卑感共 3 项内容。< 7 分为无抑郁症状,≥ 7 分为有抑郁症状。HAMA 和 HAMD 评分均 ≥ 7 分者,为同时存在焦虑和抑郁症状。

3. 认知功能评价 据 MMSE 和 MoCA 评分,以及患者及其家属主诉是否有认知功能减退和是否对日常生活有影响分为 3 组。(1) 认知功能正常组: MoCA 评分 ≥ 26 分且无认知功能减退主诉。(2) 轻度认知损害组(MCI 组): MoCA 评分 < 26 分且 MMSE 评分 ≥ 26 分,同时有认知功能减退主诉但未影响日常生活。(3) 痴呆组: MMSE 评分 < 26 分,同时有认知功能减退主诉并影响日常生活^[5]。

三、统计分析方法

采用 SPSS 13.0 统计软件进行数据处理与分

析。计数资料以相对数构成比(%)或率(%)表示,行 χ^2 检验。呈正态分布的计量资料以均数 \pm 标准差($\bar{x} \pm s$)表示,行两独立样本的 *t* 检验;不同认知功能组患者情绪的比较采用 Kruskal-Wallis 秩和检验(*H* 检验)。呈非正态分布的计量资料以中位数和四分位数间距 [*M*(*P*₂₅, *P*₇₅)] 表示,行 Mann-Whitney *U* 检验。认知功能影响因素的筛查采用单因素和多因素 Logistic 回归分析。以 *P* ≤ 0.05 为差异具有统计学意义。

结 果

一、一般资料

本组 71 例均为轻至中度帕金森病患者,UPDRS 评分为 10~78 分,中位评分为 39 分;Hoehn-Yahr 分级 1~4 级,中位分级为 2 级。其中,焦虑组(HAMA 评分 ≥ 7 分)和抑郁组(HAMD 评分 ≥ 7 分)患者除 UPDRS 评分分别高于无焦虑组(HAMA 评分 < 7 分, *P* = 0.023)和无抑郁组(HAMD 评分 < 7 分, *P* = 0.029)外,其他各项资料(性别、年龄、病程、受教育程度、UPDRS 评分和 Hoehn-Yahr 分级)比较,差异均无统计学意义(*P* > 0.05;表 1,2),具有可比性。

二、认知功能评价

本组患者 MMSE 评分 16~30 分,中位评分为 27 分;MoCA 评分 10~30 分,中位评分 23 分。虽然,焦虑组和抑郁组患者 MMSE 评分均略低于无焦虑组和无抑郁组,但差异未达到统计学意义(均 *P* > 0.05);焦虑组患者 MoCA 评分低于无焦虑组(*P* = 0.042),而抑郁组与无抑郁组患者 MoCA 评分差异无统计学意义(*P* > 0.05;表 1,2)。本组 71 例患者中 22 例(30.99%)认知功能正常,49 例(69.01%)认知功能障碍,其中轻度认知损害 28 例(39.44%)、痴呆 21 例(29.58%)。

三、认知功能与情绪变化的关系

1. 认知功能对情绪的影响 认知功能正常组、轻度认知损害组和痴呆组患者 HAMA(14 项)和 HAMD(24 项)评分比较,差异无统计学意义(均 *P* > 0.05,表 3)。提示认知功能障碍对帕金森患者的情绪变化无明显影响。

2. 情绪对认知功能的影响 单因素 Logistic 回归分析显示,焦虑为帕金森病患者认知功能障碍的危险因素(*P* < 0.05;表 4,5)。以焦虑为自变量代入多因素 Logistic 回归方程,进一步分析显示,焦虑为

表 1 焦虑组与无焦虑组患者一般资料的比较

Table 1. Comparison of general information between PD patients with or without anxiety

Item	No anxiety (N = 10)	Anxiety (N = 61)	Statistical value	<i>P</i> value
Sex [case (%)]			0.000	1.000
Male	6 (6/10)	34 (55.74)		
Female	4 (4/10)	27 (44.26)		
Age ($\bar{x} \pm s$, year)	65.30 ± 10.93	66.75 ± 8.32	0.489	0.626
Duration [<i>M</i> (<i>P</i> ₂₅ , <i>P</i> ₇₅), year]	4.50 (2.75, 7.25)	5.00 (3.00, 9.00)	-0.540	0.589
Education [<i>M</i> (<i>P</i> ₂₅ , <i>P</i> ₇₅), year]	12.00 (9.00, 15.25)	12.00 (9.00, 15.00)	-0.870	0.384
UPDRS [<i>M</i> (<i>P</i> ₂₅ , <i>P</i> ₇₅), score]	26.50 (36.00, 43.00)	40.00 (31.00, 52.00)	-2.406	0.016
Hoehn-Yahr [<i>M</i> (<i>P</i> ₂₅ , <i>P</i> ₇₅), grade]	2.25 (1.00, 2.63)	2.00 (2.00, 3.00)	-0.682	0.496
MMSE [<i>M</i> (<i>P</i> ₂₅ , <i>P</i> ₇₅), score]	29.00 (25.00, 30.00)	27.00 (25.00, 29.00)	-1.745	0.081
MoCA [<i>M</i> (<i>P</i> ₂₅ , <i>P</i> ₇₅), score]	26.00 (22.25, 28.50)	22.00 (18.50, 25.50)	-2.331	0.020

χ^2 test for comparison of sex, *t* test for comparison of age, and Mann-Whitney *U* test for comparison of others. UPDRS, Unified Parkinson's Disease Rating Scale, 统一帕金森病评价量表; MMSE, Mini-Mental State Examination, 简易智能状态检查量表; MoCA, Montreal Cognitive Assessment, 蒙特利尔认知评价量表。The same for Table 2

表 2 抑郁组与无抑郁组患者一般资料的比较

Table 2. Comparison of general information between PD patients with or without depression

Item	No depression (N = 16)	Depression (N = 55)	Statistical value	<i>P</i> value
Sex [case (%)]			0.000	0.994
Male	9 (9/16)	31 (56.36)		
Female	7 (7/16)	24 (43.64)		
Age ($\bar{x} \pm s$, year)	64.81 ± 10.77	67.05 ± 7.99	0.910	0.366
Duration [<i>M</i> (<i>P</i> ₂₅ , <i>P</i> ₇₅), year]	4.50 (2.25, 7.25)	5.00 (3.00, 9.00)	-0.796	0.426
Education [<i>M</i> (<i>P</i> ₂₅ , <i>P</i> ₇₅), year]	12.00 (9.00, 15.00)	12.00 (9.00, 15.00)	-0.270	0.787
UPDRS [<i>M</i> (<i>P</i> ₂₅ , <i>P</i> ₇₅), score]	39.00 (30.00, 52.00)	39.00 (30.00, 52.00)	-6.057	0.000
Hoehn-Yahr [<i>M</i> (<i>P</i> ₂₅ , <i>P</i> ₇₅), grade]	2.00 (2.00, 2.88)	2.00 (2.00, 3.00)	-0.511	0.610
MMSE [<i>M</i> (<i>P</i> ₂₅ , <i>P</i> ₇₅), score]	27.50 (25.00, 29.75)	27.00 (25.00, 29.00)	-0.841	0.400
MoCA [<i>M</i> (<i>P</i> ₂₅ , <i>P</i> ₇₅), score]	23.50 (18.00, 27.50)	23.00 (19.00, 26.00)	0.891	0.373

帕金森病患者认知功能障碍的独立危险因素(*OR* = 10.816, 95%CI: 1.682~69.560, *P* = 0.012;表 6)。

讨 论

MMSE 量表是由 Folstein 于 1975 年编制的,一直用于痴呆的筛查与评价^[6],MoCA 量表是在 MMSE

表 3 认知功能正常与异常帕金森病患者焦虑与抑郁程度的比较 [$M(P_{25}, P_{75})$, 评分]**Table 3.** Comparison of anxiety and depression in PD patients with or without cognitive dysfunction [$M(P_{25}, P_{75})$, score]

Group	N	HAMA-14	HAMD-24
Normal cognitive function	22	11.00 (9.00, 14.00)	10.00 (7.00, 13.00)
MCI	28	11.00 (9.00, 14.75)	11.00 (7.00, 14.00)
Dementia	21	11.00 (9.00, 15.00)	11.00 (7.00, 15.00)
<i>H</i> value		3.229	2.174
<i>P</i> value		0.199	0.337

MCI, mild cognitive impairment, 轻度认知损害; HAMA, Hamilton Anxiety Rating Scale, 汉密尔顿焦虑量表; HAMD, Hamilton Depression Rating Scale, 汉密尔顿抑郁量表

量表基础上经过长期的临床摸索和实践改良而成。目前对于认知功能评价, MMSE 量表简便, 应用最为广泛, 但因涵盖认知领域少, 敏感性低等原因而受限, MoCA 量表在多项研究中均显示对认知功能障碍的筛查优于 MMSE 量表。

帕金森病患者在病程中出现的认知功能障碍主要与遗传因素、老龄化和环境因素有关, 其病理学机制可能与额叶-纹状体环路破坏有关^[7]。有研究显示, 与震颤型帕金森病相比, 姿势步态异常型帕金森病患者病程中认知功能下降程度更明显^[8]。据相关文献报道, 帕金森病患者认知功能障碍患病率约为 55%^[9], 本组病例患病率为 69.01% (49/71), 远高于年龄相匹配的社区老年人群^[10-11]。有研究显示, 帕金森病患者在疾病早中期即可出现轻度认知损害^[12]。

情绪障碍为帕金森病患者临床较为常见的非运动症状之一^[13-14]。目前, 对帕金森病患者情绪障碍与认知功能障碍之间的关系尚未完全阐明, 一般认为与去甲肾上腺素能和 5-羟色胺能神经元损害有关, 或由患者对自身认知功能减退而产生的悲观和焦虑情绪所诱发^[15-16]。帕金森病伴抑郁的发生率为 20%~40%^[17-18], 发病机制与脑组织 5-羟色胺和多巴胺(DA)代谢产物表达下调导致的额叶-皮质下神经网络功能异常有关^[19]。临床主要表现为情绪低落(冷漠、悲观、兴趣减少等)、对工作和生活丧失兴趣、注意力不集中、敏感、睡眠障碍、缺乏幽默感、伴自杀倾向等, 但较少出现自残和自杀行为^[20], 其机制为脑组织 5-羟色胺和多巴胺代谢产物表达水

平下降导致的额叶-皮质下神经网络功能异常^[19]。研究显示, HAMD-24 评分与 MoCA 评分呈负相关, 抑郁症状可损害帕金森病患者认知功能^[21-22]。对本组病例的观察结果显示, 伴抑郁的帕金森病患者认知功能障碍患病率呈上升趋势可能与本研究所纳入的轻至中度帕金森病患者抑郁症状和认知功能障碍相对较轻有关。Erro 等^[23]认为, 帕金森病患者出现焦虑症状的主要原因是黑质-纹状体多巴胺能神经元功能异常和右侧尾状核低代谢, 临床表现为广泛性焦虑、社交恐惧或惊恐障碍^[24-25]。目前认为, 焦虑为帕金森病前驱症状^[25], 对患者感觉、知觉、记忆、思维等认知功能具有不良影响, 使其视觉或听觉功能减退, 继而导致反应迟钝以及学习、记忆和理解能力下降, 影响正常交流^[26]。本研究伴焦虑的帕金森病患者的 MoCA 评分均降低, 提示焦虑症状可以诱发或加重认知损害程度; 但本研究伴焦虑的帕金森病患者的 MMSE 评分与无焦虑者之间无明显差异。由于 MMSE 量表之瞬时记忆和命名测验内容简单, 故对轻度认知损害的诊断敏感性较低; 而 MoCA 量表增加了执行能力和注意力测验项目, 使轻度认知损害诊断的敏感性有所提高, 大量临床研究结果均提示, MoCA 量表筛查效果明显优于 MMSE 量表^[27-28], 本研究亦获得相同结果。

本研究所纳入的帕金森病患者多伴焦虑与抑郁症状共存, 但尚未达到焦虑症和抑郁症的诊断标准。目前关于焦虑与抑郁之间的关系尚无定论, 大多数研究认为有以下 3 种可能: (1) 连续谱论, 即焦虑症与抑郁症的发病基础相同, 是同一种疾病的不同表现形式。(2) 二分论, 认为焦虑与抑郁是两种不同性质的疾病, 共病现象是二者各为一种独立疾病但同时存在于同一患者的临床症状中。(3) 共病论, 认为焦虑和抑郁共病是一种不同于单纯焦虑障碍和单纯抑郁障碍的独特疾病实体^[29]。我们对本组病例的观察未发现帕金森病患者伴焦虑和抑郁共病对其认知功能有显著影响, 具体病理生理学机制尚待进一步观察。鉴于情绪障碍和认知功能障碍可严重影响患者生活质量, 且前者可能为后者的危险因素, 因此, 无论是何种机制均应早期诊断并积极治疗其不良情绪, 从而提高患者生活质量, 预防和延缓病情进展。

本研究为一项非多中心临床试验, 由于样本量较小无法进一步分析帕金森病不同临床亚型和发病年龄对认知功能的影响; 与此同时, 本研究为一

表 4 Logistic 回归分析变量赋值表

Table 4. Assignment of Logistic regression analysis

Variable	Assignment (score)	
	0	1
Sex	Female	Male
Age (year)	≤ 60	> 60
Duration (year)	≤ 5	> 5
Education (year)	≤ 12	> 12
UPDRS (score)	≤ 50	> 50
Hoehn-Yahr (grade)	≤ 2	> 2
Anxiety	No	Yes
Depression	No	Yes
Anxiety and depression	Anxiety or depression	Coexistence of both

UPDRS, Unified Parkinson's Disease Rating Scale, 统一帕金森病评价量表。The same for Table 5

表 5 帕金森病患者认知功能障碍影响因素的单因素 Logistic 回归分析

Table 5. Univariate Logistic regression analysis of the influencing factors for cognitive dysfunction of PD patients

Variable	<i>b</i>	<i>SE</i>	Wald χ^2	<i>P</i> value	<i>OR</i> value	<i>OR</i> 95%CI
Sex	-0.911	0.525	3.011	0.083	0.402	0.144- 1.125
Age	0.380	0.596	0.407	0.523	1.462	0.455- 4.700
Duration	0.519	0.527	0.968	0.325	1.680	0.598- 4.723
Education	0.065	0.574	0.013	0.910	1.067	0.316- 3.284
UPDRS	0.500	0.593	0.711	0.399	1.648	0.516- 5.270
Hoehn-Yahr	0.141	0.515	0.076	0.783	1.152	0.420- 3.160
Anxiety	1.968	0.751	6.859	0.009	7.156	1.641-31.206
Depression	0.730	0.588	1.540	0.215	2.074	0.655- 6.565
Anxiety and depression	0.993	0.560	3.154	0.076	2.700	0.901- 8.093

表 6 帕金森病患者认知功能障碍影响因素的多因素 Logistic 回归分析

Table 6. Multivariate Logistic regression analysis of the influencing factors for cognitive dysfunction of PD patients

Variable	<i>b</i>	<i>SE</i>	Wald χ^2	<i>P</i> value	<i>OR</i> value	<i>OR</i> 95%CI
Anxiety	2.381	0.950	6.287	0.012	10.816	1.682-69.560
Constant	-4.482	3.010	2.216	0.137		

项横断面研究,仅调查一个时间点的情绪和认知功能,不能显示患者情绪变化的动态结果且缺乏随访;入组病例病情(轻至中度)、认知功能障碍以及抑郁和焦虑程度均较轻,可能存在病例选择偏倚;而且纳入与排除标准中未考虑药物对患者情绪影响等因素。今后将针对上述问题进一步扩大样本量,同时增加重症患者、改善研究方法以获得更加详细、准确的数据。

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Systems Biology of Alzheimer's Disease published

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Alzheimer's disease (AD) and many other neurodegenerative disorders are multifactorial in nature, involving a combination of genomic, epigenomic, network dynamic and environmental factors. A proper investigation requires new integrative Systems Biology approaches, at both the experimental and computational level. The interplay of disease mechanisms and homeostatic networks will underlie the time of onset and rate of progression of the disease.

This book addresses such an integrated approach to AD. It aims to present Systems Biology, including both experimental and computational approaches, as a new strategy for the study of AD and other multifactorial diseases, with the hope that the results will translate into more effective diagnosis and treatment, as well as improved public health policies.

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